

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
16700-00016

In the Application Of: **Krupa et al.**

Serial No.  
10/810,504

Filing Date  
03/26/2004

Examiner  
Unknown

Group Art Unit  
Unknown

Title: **COMPACT, HIGH EFFICIENCY, HIGH-POWER SOLID STATE LIGHT SOURCE USING  
A SINGLE SOLID STATE LIGHT-EMITTING DEVICE**

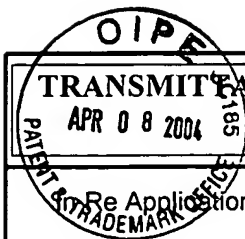
Address to:  
**Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

APR 08 2004

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10/810,504

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**COMPACT, HIGH EFFICIENCY, HIGH-POWER SOLID STATE LIGHT SOURCE USING  
A SINGLE SOLID STATE LIGHT-EMITTING DEVICE**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☐ The Director is hereby authorized to charge and credit Deposit Account No. **50-1582**  
as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

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**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on 4/06/04 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature of Person Mailing Correspondence

Sandra L. Kopacz

Typed or Printed Name of Person Mailing Certificate

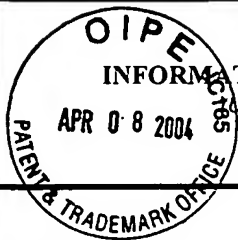
\*This certificate may only be used if paying by deposit account.

Signature

Dated: 4/6/04

Brian M. Dingman, Esq., Reg. No. 32,729

CC:



## INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)

16700-00016

Application Number

10/810,504

Applicant(s)

Krupa et al.

Filing Date

03/26/04

Group Art Unit

Unknown

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		6,260,994 B1	07/17/2001	Matsumoto et al.	362	574	08/03/1999
		6,318,887 B1	11/20/2001	Seiji Matsumoto	362	574	08/03/1999
		6,331,156 B1	12/18/2001	Haefele et al.	600	179	06/08/2000
		US 2002/0120181 A1	08/29/2002	Klaus M. Irion	600	178	10/24/2001
		US 2003/0156430 A1	08/21/2003	Oto et al.	362	574	02/19/2003
		US 2003/0231843 A1	12/18/2003	Columbo et al.	385	115	06/13/2002
		US 2003/0004846 A1	01/08/2004	Steen et al.	362	555	07/03/2002

## FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



**MIRICK O'CONNELL**  
ATTORNEYS AT LAW  
MIRICK, O'CONNELL, DEMALLIE & LOUGEE, LLP

April 6, 2004

Mail Stop: DD  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Re: Applicant: Krupa et al.  
Serial No.: 10/810,504  
Filed: March 26, 2004  
For: COMPACT, HIGH-EFFICIENCY, HIGH-POWER SOLID STATE LIGHT  
SOURCE USING A SINGLE SOLID STATE LIGHT-EMITTING DEVICE  
Examiner: Unknown  
Group: Unknown  
Docket No.: 16700-00016

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Dear Sir:

Enclosed for filing prior to the First Office Action in the subject application is an Information Disclosure Statement and Prior Art Form PTO-A820.

If for any reason this communication is found to be incomplete, or if at any time it appears that a telephone conference with counsel would help advance prosecution, please telephone the undersigned in Westborough, Massachusetts (508) 898-1501.

If any payment during prosecution is found to be insufficient or if any overpayment is found, please charge any deficiency or credit any overpayment to my deposit account number 50-1582. A copy of this letter is enclosed for use by the Finance Branch in the event that it is necessary to make any charge or credit to my deposit account.

Kindly acknowledge receipt of the foregoing by returning the enclosed self-addressed postcard.

Very truly yours,



Brian M. Dingman

BMD:slk  
Enclosures

MIRICK O'CONNELL

WORCESTER, MA 01608-1477  
508-791-8500 • FAX 508-791-8502

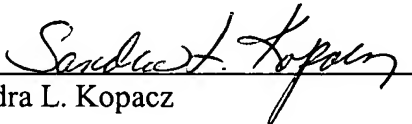
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BOSTON, MA 02110-1263  
617-261-2417 • FAX 617-261-2418



CERTIFICATE OF FIRST-CLASS MAILING

I hereby certify that the attached Information Disclosure Statement and Prior Art Form PTO-A820 is being deposited with the United States Postal Service, First-Class Mail, on this 6th day of April, 2004, in an envelope addressed to Mail Stop: DD, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
Sandra L. Kopacz